

ISA Transfer Application Form

The ISA transfer application deadline is 14 May 2010.

The ISA transfer funds deadline is 11 June 2010.

Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.

This Application Form is for the transfer of existing ISAs. ISAs are restricted to UK tax resident individuals only.

For additional forms (e.g. for a spouse, partner or friend), simply photocopy this form.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

To be completed by the Investor.

1 Investment Option

Please indicate which Plan and Plan option you wish to invest in, and the amount to be invested. If you would like to transfer the full amount into one Plan only please write "FULL AMOUNT" next to the Plan selected. If you are making a transfer into more than one Plan please specify the exact amounts.

FTSE 100 Kick-Out Deposit Plan 12
 Option 1 £ Option 2 £

FTSE 100 3 Year Deposit Plan 17
 Option 1 £ Option 2 £

FTSE 100 5 Year Deposit Plan 17
Investec version
 Option 1 £ Option 2 £

Lloyds version
 Option 1 £ Option 2 £

FTSE 100 Income Deposit Plan 10
 Option 1 Annual income
 Monthly income
 Option 2 Annual income
 Monthly income

FTSE 100 Plus Income Deposit Plan 6
 Option 1 Annual income
 Monthly income
 Option 2 Annual income
 Monthly income

ISA Transfer
 I apply to transfer the amount stated above as an ISA investment into the Plan selected.
 I apply to transfer my existing cash ISA A/c no. _____
 from _____ (old manager) to Investec Bank plc
 (new manager).

2 Personal Details

This section must be completed by all applicants.
 I confirm that I am transferring a Cash ISA.

Amount to be transferred (if not full amount) £

A separate application is required for each ISA you wish to transfer. This form may be photocopied for extra copies.

Title (Mr/Mrs/Miss/Ms) _____ Date of Birth

Surname _____

Full Forenames _____

Male Female

Permanent Residential Address _____

Postcode _____

Telephone Number (Daytime) _____

3 National Insurance Number

Do you have a National Insurance (NI) Number? Yes No

If 'YES' you must enter it here:
 (You should be able to find your NI number on a payslip, form P45 or P60 or a letter from HM Revenue & Customs, a letter from the DWP (Department for Work and Pensions), or pension order book).

Notes

1. Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar item. We may also check your identity using electronic systems.
2. Data Protection Act 1998 (as amended): Investec will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future. **If you would prefer not to receive such information please mark this box .**

Plan Number(s) (For Investec use only)

FTSE 100 Kick-Out Deposit Plan 12

Option 1 _____ Option 2 _____

FTSE 100 3 Year Deposit Plan 17

Option 1 _____ Option 2 _____

FTSE 100 5 Year Deposit Plan 17 – Investec version

Option 1 _____ Option 2 _____

FTSE 100 5 Year Deposit Plan 17 – Lloyds version

Option 1 _____ Option 2 _____

FTSE 100 Income Deposit Plan 10 – Annual

Option 1 _____ Option 2 _____

FTSE 100 Income Deposit Plan 10 – Monthly

Option 1 _____ Option 2 _____

FTSE 100 Plus Income Deposit Plan 6 – Annual

Option 1 _____ Option 2 _____

FTSE 100 Plus Income Deposit Plan 6 – Monthly

Option 1 _____ Option 2 _____

Existing ISA Transfer Request

If you are transferring more than one ISA, please photocopy this form and sign a separate form for each holding you are transferring.

I confirm that I am transferring a Cash ISA.

Amount to be transferred (if not full amount)

£

Title (Mr/Mrs/Miss/Ms)

Date of Birth

Surname

Full Forenames

Permanent Residential Address

Postcode

Telephone Number (Daytime)

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

Name of your existing ISA manager

Address of ISA manager

ISA manager Telephone Number

Account Number of ISA

I confirm that my investment is currently held in an Investec Structured Products Cash ISA account (if applicable).

Existing ISA manager instructions:

1. I instruct the manager of the ISA shown above to provide Investec Bank plc any information they may require in relation to the Plan and to sell the ISA assets and send a cheque for the proceeds payable to: 'Investec Bank plc' at Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ
2. Any dividends, interest, and tax credits arising after the transfer should be made payable directly to me.

Signature

X

Date

Name of Registered Individual _____
 Name of company _____
 Address _____

 _____ Postcode _____
 Telephone number _____
 Email address _____
 Are you a member of a network? Yes No
 If yes, are you directly authorised or an authorised representative?
 Name of network _____
 Local Regulator, e.g. FSA, FSC _____ Registration Number _____
 Have you provided the customer with investment advice in relation to the product(s) in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 8 of the Deposit Plans IFA guide? Yes No
 If 'No', how has the investment been taken out (e.g. execution only)*? _____
 Have you conducted a review of appropriateness? Yes No
 If no, please specify reason* _____

 *If further space is required for your answers please attach the additional information on a separate sheet.

Verification of Identity

I confirm that:
 a) the information in sections 2 and 3 was obtained by me in relation to the customer(s);
 b) the evidence I have obtained to verify the identity of the customer(s) meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007.
 Signed _____
 Name _____
 Position _____ Date _____
 This declaration can not be used to verify the identity of any customer that falls into one of the following categories:
 • Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 • Those whose identity has not been verified by virtue of the application of a permitted exemption under the money Laundering Regulations; or
 • Those whose identity has been verified using the source of funds as evidence.
 If any of the above apply please contact us before proceeding.
 For verification of third party payers, please use the confirmation of Verification of Identity Certification on our website.

Please tick the box that applies to the commission payment you require.
 If you are applying for more than one option within a Plan please state the option next to the commission option you have selected.

- 3% Initial commission only
 FTSE 100 5 Year Deposit Plan 17
 FTSE 100 Income Deposit Plan 10
 FTSE 100 Plus Income Deposit Plan 6
- 2.25% Initial commission only
 FTSE 100 Kick-Out Deposit Plan 12
 FTSE 100 3 Year Deposit Plan 17
- 1% Initial commission plus 0.45% trail commission
 FTSE 100 3 Year Deposit Plan 17
 FTSE 100 5 Year Deposit Plan 17
 FTSE 100 Income Deposit Plan 10
 FTSE 100 Plus Income Deposit Plan 6
- 1% Initial commission plus 0.28% trail commission
 FTSE 100 Kick-Out Deposit Plan 12

