

FTSE 100 Growth Plan 14 Application Form

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| Closing date | 5 March 2010 |
|--------------|--------------|

This Application Form is for direct investment and new ISAs. ISAs are restricted to UK tax resident individuals only.

For additional forms (e.g. for a spouse, partner or friend), simply photocopy this form.

Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

To be completed by the Investor

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

1 Personal Details

This section must be completed by all applicants.

| | | | | | | | |
|-------------------------------|--------------------------|----------------------|--------------------------|----------------------|----------------------|----------------------|----------------------|
| Title (Mr/Mrs/Miss/Ms) | Date of Birth | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Surname | | | | | | | |
| Full Forenames | | | | | | | |
| Male | <input type="checkbox"/> | Female | <input type="checkbox"/> | | | | |
| Permanent Residential Address | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Postcode | | | | | | | |
| Telephone Number (Daytime) | | | | | | | |
| Country or Place of Birth* | | | | | | | |
| Tax Identification Number* | | | | | | | |

*For investors in Jersey, Guernsey and the Isle of Man.

2 Joint Holders

The Joint Holder must complete this section.
For DIRECT INVESTMENTS ONLY

| | | | | | | | |
|------------------------|---------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Title (Mr/Mrs/Miss/Ms) | Date of Birth | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Surname | | | | | | | |
| Full Forenames | | | | | | | |

3 On behalf of a Child

This section is applicable for DIRECT INVESTMENTS ONLY

| | | | | | | | |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| For direct investments on behalf of a child, the adult signing the form acts as a nominee of the child. | | | | | | | |
| Child's Name | | | | | | | |
| Child's Date of Birth | | | | | | | |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

4 National Insurance Number

This section is mandatory for ISA INVESTMENTS ONLY

| | | | | |
|---|----------------------|--------------------------|----------------------|--------------------------|
| Do you have a National Insurance (NI) Number? | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| If 'YES' you must enter it here: | | | | |
| (You should be able to find your NI number on a payslip, form P45 or P60 or a letter from HM Revenue & Customs, a letter from the DWP (Department for Work and Pensions), or pension order book). | | | | |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

5 Subscription

This section must be completed by all applicants. Amount Tick if relevant

Direct investment

I apply to subscribe the following amount as a direct investment into the Investec FTSE 100 Growth Plan 14

(Minimum total £1,500 and maximum £1,000,000).

Stocks and shares ISA for 2009/10 (UK tax resident individuals only)

I apply to subscribe the following amount as all or part of my ISA allowance into the Investec FTSE 100 Growth Plan 14 for the tax year 2009/10

(Minimum total £1,500).

Total Subscription

Cheques should be made payable to 'Investec Bank plc', or for a Building Society cheque 'Investec Bank plc reference [your name]'.

Application Forms with post-dated cheques will not be accepted.

Tick here if the proceeds are coming from a maturing Investec Structured Product

Maturing Plan Number

6 Appropriateness

This section must be completed by all applicants.

| | | | | |
|--|-----|--------------------------|----|--------------------------|
| Have you received a personal recommendation from your financial adviser to invest in this product? | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| If 'Yes', please proceed to Section 7 Declaration and Authority. If 'No' please answer the questions below. We will not be able to accept your investment if we cannot determine whether this investment is appropriate for you. | | | | |
| Appropriateness questions – the following questions only need to be answered if you have not received investment advice. | | | | |
| 1. Have you, in the last five years, held any of the following investments? | | | | |
| Shares | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| Stocks and Shares ISA | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| Unit Trusts/OEICs | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| Tracker Funds | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| A product similar to the one you are applying for (known as a Structured Product), i.e. a fixed term investment? | | | | |
| | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| 2. Do you understand that stock market movements will influence the return you receive? | | | | |
| | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| 3. Do you understand the investment has been designed to be held for the full term? | | | | |
| | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| 4. Do you fully understand the risks of the investment that are explained in the brochure? Full details on these are contained in the Brochure and Terms and Conditions, which you should have read and understood. | | | | |
| | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |

7 Declaration & Authority

This section must be completed, signed and dated by all applicants. Application Forms will not be processed if this section is incomplete.

Applicable to direct investment applicants only

I declare that, as set out in the personal details section that, I am 18 years of age or over, and either: resident and ordinarily resident in the UK for tax purposes and that I am not acting on behalf of a Non-UK tax resident, or, a resident of the Isle of Man, or, a resident of Jersey, or, a resident of Guernsey.

Applicable to all ISA applicants

I apply to subscribe for a stocks and shares ISA for the tax year 2009/10.

I declare that:

- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another stocks and shares ISA with a different ISA manager in the same tax year that I subscribe to this stocks and shares ISA, and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Bank plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I agree to the ISA Terms and Conditions.

For all applicants

I authorise Investec Bank plc:

- to hold my cash subscription, investments, ISA investments, interest, dividends and other rights in respect of those investments and any other cash.
- to make on my behalf any claims to relief from tax in respect of ISA investments.
- on my request to transfer or pay to me any cash realised on or deriving from, as the case may be, direct investments, ISA investments, interest, dividends, rights or other proceeds in respect of such investments, in accordance with the Terms and Conditions.
- to supply me with an annual Investec FTSE 100 Growth Plan 14 statement.

I have read and understood the FTSE 100 Growth Plan 14 brochure, including investment risks and accept the Terms and Conditions and agree to the Terms and Conditions under which my investment will be managed. I acknowledge that the Plan Manager will only provide an annual report on the value of my investment. I declare that this Application Form has been completed to the best of my knowledge and belief.

| |
|--|
| Signature X |
| Print Name |
| Date |
| I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> |

Joint Holder if applicable (direct investment only):

| |
|--|
| Signature X |
| Print Name |
| Date |
| I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> |

Please note all applications must be received by Investec Administration before 5pm on 5 March 2010 for direct investments or 19 February 2010 for ISA transfers.

*For investors in Jersey, Guernsey and the Isle of Man only.

Notes

- Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar item. We may also check your identity using electronic systems.
- Data Protection Act 1998 (as amended): Investec will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future. **If you would prefer not to receive such information please mark this box .**

Isle of Man

Investec Bank plc ("the Bank") is not subject to approval or regulation by the Isle of Man Financial Supervision Commission (the Commission) and the Commission does not vouch for the correctness of any statements made or opinions expressed with regard to it. Accordingly this Application Form and any other document or material in connection with the offer may not be circulated, distributed, nor may the securities be offered or sold, or made the subject of an invitation for subscription, whether directly or indirectly, to persons in the Isle of Man other than in accordance with the Isle of Man Companies (Private Placement) (Prospectus Exemptions) Regulations 2000.

The offer is being made on a private placement basis to persons within the following description:

- persons whose ordinary activities involve them in acquiring, holding, managing or disposing of shares or debentures (as principal or agent) for the purposes of their business; or
- persons who it is reasonable to expect will acquire, hold, manage or dispose of their investment (as principal or agent) for the purposes of their businesses; or
- a restricted circle of persons whom the Bank reasonably believes to be sufficiently knowledgeable to understand the risks involved in accepting the offer constituted by this document; or
- a restricted circle of persons numbering no more than fifty whom it is reasonable to believe will acquire the Plan for investment purposes and not with a view to their imminent resale.

Guernsey

This Plan may only be offered or sold in or from within the Bailiwick of Guernsey either (i) by persons licensed to do so under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 (as amended) (the "POI Law"); or (ii) to persons licensed under the POI Law; or (iii) to persons licensed under the Insurance Business (Bailiwick of Guernsey) Law, 2002, the Banking Supervision (Bailiwick of Guernsey) Law, 1994, or the Regulation of Fiduciaries, Administration Businesses and Company Directors, etc. (Bailiwick of Guernsey) Law, 2000.

For Financial Adviser use only – Mandatory

Plan Number (For Investec use only)

Name of Registered Individual _____

Name of company _____

Address _____

Telephone number _____

Email address _____

Are you a member of a network? Yes No

If yes, are you directly authorised or an authorised representative?

Name of network _____

Local Regulator, e.g. FSA, FSC _____ Registration Number _____

Have you provided the customer with investment advice in relation to this product in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 8 of the IFA guide? Yes No

If 'No', how has the investment been taken out (e.g. execution only)*?

Have you conducted a review of appropriateness? Yes No

If no, please specify reason* _____

*If further space is required for your answers please attach the additional information on a separate sheet.

Please tick the box that applies to the commission payment you require.

- 3.5% Initial commission only 1% Initial commission only
- 1.25% Initial commission plus 0.5% Trail commission 0% Initial commission only
- Commission rebates are not available for ISA Investments.**

Verification of Identity

I confirm that:

- the information in sections 1 and 2 was obtained by me in relation to the customer(s);
- the evidence I have obtained to verify the identity of the customer(s):
meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007.

Signed _____

Name _____

Position _____ Date _____

This declaration can not be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the money Laundering Regulations; or
- Those whose identity has been verified using the source of funds as evidence.

If any of the above apply please contact us before proceeding.

For verification of third party payers, please use the confirmation of Verification of Identity Certification on our website.

**For transfer of existing ISA
(UK tax resident individuals only)**

FTSE 100 Growth Plan 14

For additional forms (e.g. for a spouse, partner or friend), simply photocopy this form.

To be completed by the Investor

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

| | |
|-----------------------------------|------------------|
| ISA transfer application deadline | 19 February 2010 |
| ISA transfer funds deadline | 19 March 2010 |

1 Personal Details

This section must be completed by all applicants.

I confirm that I am transferring a (please tick only one box):

stocks and shares ISA cash ISA Amount to be transferred (if not full amount) £

A separate application is required for each ISA you wish to transfer. This form may be photocopied for extra copies.

Title (Mr/Mrs/Miss/Ms) _____ Date of Birth

Surname _____

Full Forenames _____

Male Female

Permanent Residential Address _____

Postcode _____

Telephone Number (Daytime) _____

3 Appropriateness

This section must be completed by all applicants.

Have you received a personal recommendation from your financial adviser to invest in this product? Yes No

If 'Yes', please proceed to Section 4 Declaration and Authority. If 'No' please answer the questions below. We will not be able to accept your investment if we cannot determine whether this investment is appropriate for you.

Appropriateness questions – the following questions only need to be answered if you have not received investment advice.

1. Have you, in the last five years, held any of the following investments?

Shares Yes No Stocks and Shares ISA Yes No

Unit Trusts/OEICs Yes No Tracker Funds Yes No

A product similar to the one you are applying for (known as a Structured Product), i.e. a fixed term investment? Yes No

2. Do you understand that stock market movements will influence the return you receive? Yes No

3. Do you understand the investment has been designed to be held for the full term? Yes No

4. Do you fully understand the risks of the investment that are explained in the brochure? Full details on these are contained in the Brochure and Terms and Conditions, which you should have read and understood. Yes No

2 National Insurance Number

Do you have a National Insurance (NI) Number? Yes No

If 'YES' you must enter it here:

(You should be able to find your NI number on a payslip, form P45 or P60 or a letter from HM Revenue & Customs, a letter from the DWP (Department for Work and Pensions), or pension order book).

Plan continues overleaf →

Existing ISA Transfer Request

If you are transferring more than one ISA, please photocopy this form and sign a separate form for each holding you are transferring.

I confirm that I am transferring a (please tick only one box):

stocks and shares ISA cash ISA Amount to be transferred (if not full amount) £

Title (Mr/Mrs/Miss/Ms) _____ Date of Birth

Surname _____

Full Forenames _____

Permanent Residential Address _____

Postcode _____

Telephone Number (Daytime) _____

Name of your existing ISA manager _____

Address of ISA manager _____

ISA manager Telephone Number _____

Account Number of ISA _____

I confirm that my investment is currently held in an Investec Structured Products Cash ISA account (if applicable).

Existing ISA manager instructions:

- I instruct the manager of the ISA shown above to provide Investec Bank plc any information they may require in relation to the Plan and to sell the ISA assets and send a cheque for the proceeds payable to: 'Investec Bank plc' at Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ by 5 March 2010.
- Any dividends, interest, and tax credits arising after the transfer should be made payable directly to me.

Signature

Date _____

4 Declaration & Authority

This section must be completed, signed and dated by all applicants.

I apply to transfer my existing ISA A/c no. _____
from _____ (old manager) to Investec Bank plc (new manager)

I declare that:

- all subscriptions made, and to be made, belong to me; (delete if you will not be subscribing to this ISA)
 - I am 18 years of age or over;
 - I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year,
 - I have not subscribed, and will not subscribe, to another stocks and shares ISA with a different ISA manager in the same tax year that I subscribe to this stocks and shares ISA; (delete if you will not be subscribing to this ISA), and
 - I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Bank plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties (delete if you will not be subscribing to this ISA).
 - I agree to the ISA Terms and Conditions.
1. I will instruct the existing ISA manager to sell my existing ISA assets in accordance with the ISA transfer request forms, and transfer the amount(s) realised to the Investec ISA Client Money account to invest in the Investec FTSE 100 Growth Plan 14 ISA.
 2. I authorise Investec Bank plc to:
 - 2.1 hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief from tax in respect of ISA investments,
 - 2.2 upon my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividend rights or other proceeds in respect of such investments or any cash,
 - 2.3 to supply me with an annual statement.

3. I declare that the information given in this declaration is true and correct to the best of my knowledge and belief and that I will inform Investec without delay of any change in my circumstances affecting any of the information in this form.

I declare that I have read and understood the FTSE 100 Growth Plan 14 brochure, including investment risks and accept the Terms and Conditions and agree to be bound by the Terms and Conditions under which my investment will be managed. I acknowledge that the Plan Manager will only provide an annual report on the value of my investment. I declare that this Application Form has been completed to the best of my knowledge and belief.

| |
|---------------------|
| Signature X |
| Print Name _____ |
| Date _____ |

If you are transferring more than one ISA, please photocopy this form and sign a separate form for each holding you are transferring. Please note all applications must be received by Investec Administration before 5pm on 19 February 2010.

Notes

1. Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar item. We may also check your identity using electronic systems.
2. Data Protection Act 1998 (as amended): Investec will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future.
If you would prefer not to receive such information please mark this box

| For Financial Adviser use only – Mandatory | Plan Number (For Investec use only) |
|---|---|
| Name of Registered Individual _____ Name of company _____ Address _____ Telephone number _____ Email address _____ Are you a member of a network? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, are you directly authorised <input type="checkbox"/> or an authorised representative? <input type="checkbox"/> Name of network _____ FSA Number _____ | Have you provided the customer with investment advice in relation to this product in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 8 of the IFA guide? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'No', how has the investment been taken out (e.g. execution only)*? _____ Have you conducted a review of appropriateness? Yes <input type="checkbox"/> No <input type="checkbox"/> If no, please specify reason* _____ <small>*If further space is required for your answers please attach the additional information on a separate sheet.</small> Please tick the box that applies to the commission payment you require. <input type="checkbox"/> 3.5% Initial commission only <input type="checkbox"/> 1.25% Initial commission plus 0.50% Trail commission Commission rebates are not available for ISA Investments. |
| Verification of Identity I confirm that: a) the information in section 1 was obtained by me in relation to the customer; b) the evidence I have obtained to verify the identity of the customer: meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007. Signed _____ Name _____ Position _____ Date _____ | This declaration can not be used to verify the identity of any customer that falls into one of the following categories: <ul style="list-style-type: none"> • Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification; • Those whose identity has not been verified by virtue of the application of a permitted exemption under the money Laundering Regulations; or • Those whose identity has been verified using the source of funds as evidence. If any of the above apply please contact us before proceeding. For verification of third party payers, please use the confirmation of Verification of Identity Certification on our website. |

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