

Application for Offshore Bond Providers



Structured Products

Applications will only be accepted via an FSA regulated financial adviser.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ

Closing date	16 April 2010
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Plan Name (please tick name of Plan applying for)

Investec Plans only			Investec and Lloyds Plans	Investec version	Lloyds version
FTSE 100 Kick-Out Deposit Plan 11	<input type="checkbox"/> Option 1	<input type="checkbox"/> Option 2	FTSE 100 5 Year Deposit Plan 16	<input type="checkbox"/>	<input type="checkbox"/>
FTSE 100 3 Year Deposit Plan 16	<input type="checkbox"/> Option 1	<input type="checkbox"/> Option 2			
FTSE 100 Income Deposit Plan 9			Option 1	<input type="checkbox"/>	<input type="checkbox"/>
Option 1	<input type="checkbox"/> Annual	<input type="checkbox"/> Monthly	Option 2	<input type="checkbox"/>	<input type="checkbox"/>
Option 2	<input type="checkbox"/> Annual	<input type="checkbox"/> Monthly			
FTSE 100 Plus Income Deposit Plan 5			Other Plan name _____		
Option 1	<input type="checkbox"/> Annual	<input type="checkbox"/> Monthly	Product Reference (found on brochure cover or on page 2) _____		
Option 2	<input type="checkbox"/> Annual	<input type="checkbox"/> Monthly			

1. Offshore Bond details

Name of Offshore Bond Provider _____
 Offshore Bond Provider Address _____

 Postcode _____
 Offshore Bond Plan Number _____

Offshore Bond Holder 1 _____

 Offshore Bond Holder Address _____

 Postcode _____
 Date of Birth
 Telephone Number (Daytime) _____
 Nationality _____

Offshore Bond Holder 2 _____

 Offshore Bond Holder Address _____

 Postcode _____
 Date of Birth
 Telephone Number (Daytime) _____
 Nationality _____

Offshore Bond Holder 3 _____

 Offshore Bond Holder Address _____

 Postcode _____
 Date of Birth
 Telephone Number (Daytime) _____
 Nationality _____

Offshore Bond Holder 4 _____

 Offshore Bond Holder Address _____

 Postcode _____
 Date of Birth
 Telephone Number (Daytime) _____
 Nationality _____

2. Investment

Please specify the amount you would like to invest (minimum £1,500)

£

Notes: Cheques from a UK bank or building society account held in the name of the Offshore Bond Provider should be made payable to: 'Investec Bank plc'. You must reference the name of the Bond Holder clearly on the cheque. Application Forms with post-dated cheques will not be accepted.

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

3. Income payment details

Only applicable for the FTSE 100 Income Deposit Plan 9 and the FTSE 100 Plus Income Deposit Plan 5.

Income payments can only be made by direct credit by the Bankers Automated Credit System (BACS) to an account with a bank or building society within the UK clearing system.

Please refer to the Brochure for Income payment details.

Bank/Building Society

Account to be credited (for example, MR & MRS A JONES)

Bank Sort Code

- -

Full Account Number
(Normally 8 digits occasionally 7, Lloyds TSB for example)

Building Society Reference or Roll Number

4. Declaration

We, the Offshore Bond Provider, declare that we are authorised on behalf of the Offshore Bond Holders, to provide instructions with respect to the investment and that, in particular, we confirm that:

- The information given on this application at the date of signing is true, complete and correct to the best of our knowledge and belief.
- We declare that we have read and understood the Plan brochure, relating to the Plan chosen, including the Plan Risks and accept the Terms and Conditions and agree to be bound by the Terms and Conditions under which our Plan will be managed.
- We undertake to advise Investec Bank plc immediately in writing of any changes in the information contained in this Application Form, including any changes to the Bond Holders or ourselves as Bond Provider.
- We confirm that we have the full power to invest in the Plans and have taken all action necessary to authorise the completion of this application and the person(s) signing this Application Form have full power and authority to do so on our behalf.
- We are not acting on behalf of a resident of the United States and we will not assist any person who is resident in the United States to acquire an interest in the Bond. We agree to inform you immediately should we believe anyone connected with the trust becomes a resident of the United States.
- We authorise Investec Bank plc to hold the subscriptions, Plan investments, interest, dividends and any other rights or proceeds in connection with more investments and any other cash and upon our request to transfer or pay to the Bond any investments, interest, dividends, rights or other proceeds in connection with such investments.
- Where we have chosen a deposit Plan, we agree that our money will be placed either on deposit with Investec Bank plc, or with Lloyds TSB Bank plc and in such second example Investec shall act as bare trustee for the cash investment.
- Where we have chosen the Lloyds version of the Plan, to place the investment on trust with Lloyds TSB Bank plc as deposit taker where Investec Bank plc shall act as trustee for all investors including ourselves.
- We accept that the Plan Manager will only provide an annual statement on the value of the investment.

Notes

- Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.
- Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. In order to comply with these regulations, we require the Verification of Identity section of this form to be completed.

- Data Protection Act 1998 (as amended): Investec and the Investec group of companies, associated companies, service providers or agents will store information that we hold about you for administration and marketing purposes. We may contact you about services, and the services of the Investec group of companies in the future. **If you would prefer not to receive such information please tick this box** . The information about you in this form may be passed to other companies in the Investec group of companies, credit reference agencies ("CRAs") and fraud prevention agencies. We may search your records at one or more CRAs, who will add to your records details of our search and your application. Should false or inaccurate information be given and fraud is identified, details will be sent to fraud prevention agencies. Law enforcement agencies may access and use this information. You are entitled to access the information we hold about you. There may be a fee involved with this to cover costs. Please contact Investec Bank plc, 2 Gresham Street, London EC2V 7QP.
- Your Plan is designed to be held without change until it matures. The interim value of the underlying investment of the Plan does not directly impact on the benefits generated. The capital return from the Plan depends on the level of the Index at maturity and its performance throughout the Plan Term, which can be obtained from us or from public sources. We will send you Plan statements once a year with information on how your Plan is progressing.
- Capitalised terms unless otherwise defined, have the meanings given to them in the Terms and Conditions within the Plan brochure.

Please state to whom the new business documentation should be issued:

Offshore Bond Provider

IFA

Both

Bank: HSBC Bank PLC

Sort Code: 40 40 01

Account Number: 32137674

Account Name: Investec Bank plc

- Please reference client name/client designation when making payment to this account. Failing to do so may result in your application being rejected.

Signatures

Signature

X

Print Name

Date

Capacity

Signature

X

Print Name

Date

Capacity

Signature

X

Print Name

Date

Capacity

Signature

X

Print Name

Date

Capacity

Please note that applications must be received before 5pm on 16 April 2010.

For Financial Adviser use only – Mandatory

Name of Registered Individual _____
Name of company _____
Address _____

Telephone number _____
Email address _____
Are you a member of a network? Yes No
If yes, are you direct authorised or an authorised representative?
Name of network _____
FSA Number _____

Have you provided the customer with investment advice in relation to this product in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 8 of the IFA guide?
Yes No

If 'No', how has the investment been taken out (e.g. execution only)[†]?

Have you conducted a review of appropriateness? Yes No

If no, please specify reason[†] _____

[†]If further space is required for your answers please attach the additional information on a separate sheet.

Verification of identity

I confirm that the Offshore Bond Provider and Holder details listed under Section 1 were obtained by me. I have seen evidence to verify the identity of all applicants that meets the standards set out within JMLSG guidance, issued in 2007.

Name _____

Job title _____

Signature

X _____

Date

This declaration cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

For verification of third party payers, please use the Confirmation of Verification of Identity Certification on our website.

Please tick the box that applies to the commission payment you require.

**For the FTSE 100 Kick-Out Deposit Plan 11,
the FTSE 100 5 Year Deposit Plan 16,
the FTSE 100 Income Deposit Plan 9,
and the FTSE 100 Plus Income Deposit Plan 5:**

- 3% Initial commission only
- 1% Initial commission plus 0.45% Trail commission
- 1% Initial commission only
- 0% Initial commission only

For the FTSE 100 3 Year Deposit Plan 16:

- 2.25% Initial commission only
- 1% Initial commission only plus 0.45% Trail commission
- 1% Initial commission only
- 0% Initial commission only

For any other Plan, please fill in Plan name and commission required, as specified in the Plan brochure:

Plan Name _____

Commission _____

For Investec use only

Plan Number _____

If you have difficulty in reading our literature, please call us on 08000 890 305. We can supply this in a range of formats including large print, audio and Braille.

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