

## Direct and ISA Application Form

The closing date for direct investments is 1 October 2010.

Please return your completed and signed Application Form to your financial adviser who will send it to:  
Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.

This Application Form is for direct investment and new ISAs. ISAs are restricted to UK tax resident individuals only.

For additional forms (e.g. for a spouse, partner or friend), simply photocopy this form.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

### To be completed by the Investor.

1a Direct Investments	
I apply to subscribe the following amount as a direct investment into the Plan(s) selected (Minimum total £1,500 and maximum £1,000,000 per Plan).	
FTSE 100 Growth Plan 19	£ <input type="text"/>
FTSE 100 Enhanced Kick-Out Plan 15 Investec version	£ <input type="text"/>
Santander UK version	£ <input type="text"/>
FTSE 100 Geared Returns Plan 20	£ <input type="text"/>
FTSE 100 Accelerated Growth Plan 20	£ <input type="text"/>
FTSE 100 Bonus Income Plan 10	
Option 1	£ <input type="text"/> Annual income
Option 2	£ <input type="text"/> Monthly income
Cheques should be made payable to 'Investec Bank plc', for a Building Society cheque or bankers draft 'Investec Bank plc reference [your name]'. Application Forms with post-dated cheques will not be accepted.	

1b Stocks and shares ISA for tax year 2010/11 Investments	
I apply to subscribe the following amount as all or part of my ISA allowance into the Plan selected for the tax year 2010/11. (Minimum total £1,500). <b>For UK tax resident individuals only.</b>	
FTSE 100 Growth Plan 19	£ <input type="text"/>
FTSE 100 Enhanced Kick-Out Plan 15 Investec version	£ <input type="text"/>
FTSE 100 Geared Returns Plan 20	£ <input type="text"/>
FTSE 100 Accelerated Growth Plan 20	£ <input type="text"/>
FTSE 100 Bonus Income Plan 10	
Option 1	£ <input type="text"/> Annual income
Option 2	£ <input type="text"/> Monthly income
Cheques should be made payable to 'Investec Bank plc', for a Building Society cheque or bankers draft 'Investec Bank plc reference [your name]'. Application Forms with post-dated cheques will not be accepted.	

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

Total Direct and ISA Subscription £

2 Personal Details This section must be completed by all applicants	
Title (Mr/Mrs/Miss/Ms)	Date of Birth <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Surname	Permanent Residential Address
Full Forenames	Postcode
Male <input type="checkbox"/> Female <input type="checkbox"/>	Telephone Number (Daytime)
Country or Place of Birth*	Tax Identification Number*

\*For investors in Guernsey and the Isle of Man only.



## 8 Declaration & Authority cont.

### For all applicants

I am neither in the United States nor a U.S. Person (as defined in Regulation S under the U.S. Securities Act of 1933, as amended, or as defined in the U.S. Internal Revenue Code of 1986, as amended).

I authorise Investec Bank plc:

- to hold my cash subscription, investments, interest, dividends and other rights in respect of those investments and any other cash or other proceeds.
- where I have chosen the Santander UK version of the FTSE 100 Enhanced Kick-Out Plan 15, to place my investment with Santander UK as security issuer, Investec Bank plc shall act as agent for all investors including myself.
- on my request to transfer or pay to me any cash realised on or deriving from, as the case may be, direct investments, interest, dividends, rights or other proceeds in respect of such investments, any cash or other proceeds.
- to supply me with an annual Plan statement.

I have read and understood the Plan brochure(s), including Plan risks and accept the Terms and Conditions and agree to the Terms and Conditions under which my Plan(s) will be managed. I also agree that part of my investment will be used to purchase securities issued by either Investec Bank plc or Santander UK plc and in such second example Investec shall act as agent on behalf of my investment. I acknowledge that the Plan Manager will only provide an annual statement on the value of my Plan(s).

I declare that this Application Form has been completed to the best of my knowledge and belief.

Signature X
Print Name
Date
I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> *

### Joint Holder if applicable:

Signature X
Print Name
Date
I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> *

Please note all applications must be received by Investec Administration before 5pm on 1 October 2010.

\*For investors in Jersey, Guernsey and the Isle of Man only.

## Notes

- Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar item. We may also check your identity using electronic systems.
- Data Protection Act 1998 (as amended): Investec will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future. **If you would prefer not to receive such information please mark this box .**

Please note the **Santander UK** version of the FTSE 100 Enhanced Kick-Out Plan 15 is not available as an ISA.

### Isle of Man

This document has been prepared by Investec Bank plc (the Bank) and is intended for distribution by the Bank through licensed financial advisers in the Isle of Man. The Bank alone shall be responsible for the contents of this document and for any distribution thereof and, without derogating from the generality of the foregoing, for ensuring that the contents of this document and any distribution thereof comply with all the applicable legal and regulatory requirements in the Isle of Man.

The offering is not subject to approval or regulation by the Isle of Man Financial Supervision Commission (the Commission) and the Commission does not vouch for the correctness of any statements made or opinions expressed with regard to it. The offering is not subject to the benefit of any compensation arrangements in the Isle of Man.

### Guernsey

These Plans may only be offered or sold in or from within the Bailiwick of Guernsey either (i) by persons licensed to do so under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 (as amended) (the "POI Law"); or (ii) to persons licensed under the POI Law; or (iii) to persons licensed under the Insurance Business (Bailiwick of Guernsey) Law, 2002, the Banking Supervision (Bailiwick of Guernsey) Law, 1994, or the Regulation of Fiduciaries, Administration Businesses and Company Directors, etc., (Bailiwick of Guernsey) Law, 2000.

The **Santander UK** version of the FTSE 100 Enhanced Kick-Out Plan 15 is not available to residents in Guernsey, Jersey or the Isle of Man.

### Plan Number(s) (For Investec use only)

FTSE 100 Growth Plan 19  
\_\_\_\_\_

FTSE 100 Enhanced Kick-Out Plan 15 – Investec version  
\_\_\_\_\_

FTSE 100 Enhanced Kick-Out Plan 15 – Santander UK version  
\_\_\_\_\_

FTSE 100 Geared Returns Plan 20  
\_\_\_\_\_

FTSE 100 Accelerated Growth Plan 20  
\_\_\_\_\_

FTSE 100 Bonus Income Plan 10 – Annual

Option 1 \_\_\_\_\_

FTSE 100 Bonus Income Plan 10 – Monthly

Option 2 \_\_\_\_\_

Name of Registered Individual \_\_\_\_\_

Name of company \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode \_\_\_\_\_

Telephone number \_\_\_\_\_

Email address \_\_\_\_\_

Are you a member of a network? Yes  No

If yes, are you directly authorised  or an authorised representative?

Name of network \_\_\_\_\_

Local Regulator, e.g. FSA, FSC \_\_\_\_\_ Registration Number \_\_\_\_\_

Have you provided the customer with investment advice in relation to these product(s) in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 7 of the Investment Plans IFA guide? Yes  No

If 'No', how has the investment been taken out (e.g. execution only)\*? \_\_\_\_\_

Have you conducted a review of appropriateness? Yes  No

If no, please specify reason\* \_\_\_\_\_

\_\_\_\_\_

\*If further space is required for your answers please attach the additional information on a separate sheet.

### Verification of Identity

I confirm that:

- the information in sections 2, 3 and 5 was obtained by me in relation to the customer(s);
- the evidence I have obtained to verify the identity of the customer(s): meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007.

Signed \_\_\_\_\_

Name \_\_\_\_\_

Position \_\_\_\_\_ Date \_\_\_\_\_

This declaration can not be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the money Laundering Regulations; or
- Those whose identity has been verified using the source of funds as evidence.

If any of the above apply please contact us before proceeding.

For verification of third party payers, please use the confirmation of Verification of Identity Certification on our website.

Please tick the box that applies to the commission payment you require. If you are applying for more than one option within a Plan please state the option next to the commission option you have selected.

3.5% Initial commission only

- FTSE 100 Growth Plan 19  
 FTSE 100 Geared Returns Plan 20  
 FTSE 100 Accelerated Growth Plan 20

3% Initial commission only

- FTSE 100 Enhanced Kick-Out Plan 15  
 FTSE 100 Bonus Income Plan 10

1.25% Initial commission plus 0.50% trail commission

- FTSE 100 Growth Plan 19  
 FTSE 100 Geared Returns Plan 20  
 FTSE 100 Accelerated Growth Plan 20

1.25% Initial commission plus 0.39% trail commission

- FTSE 100 Enhanced Kick-Out Plan 15

1% Initial commission plus 0.45% trail commission

- FTSE 100 Bonus Income Plan 10

1% Initial commission only

- FTSE 100 Growth Plan 19  
 FTSE 100 Enhanced Kick-Out Plan 15  
 FTSE 100 Geared Returns Plan 20  
 FTSE 100 Accelerated Growth Plan 20  
 FTSE 100 Bonus Income Plan 10

0% Initial commission only

- FTSE 100 Growth Plan 19  
 FTSE 100 Enhanced Kick-Out Plan 15  
 FTSE 100 Geared Returns Plan 20  
 FTSE 100 Accelerated Growth Plan 20  
 FTSE 100 Bonus Income Plan 10

Commission rebates are not available for ISA investments.

