

Application for UK Registered Charities



Structured Products

This form is for registered charities only. If you are a non-registered charity please contact us on 020 7597 4065.

Applications will only be accepted via an FSA regulated financial adviser.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ

Closing date	24 February 2012
--------------	------------------

Plan Name (please tick name of Plan applying for)

Investec Plans	Option 1 (FTSE 100)	Option 2 (EVEN 30™)	Option 1 (Investec)	Option 2 (UK 5)
FTSE 100 Kick-Out Deposit Plan 26	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FTSE 100 3 Year Deposit Plan 31	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FTSE 100 Accelerated Growth Plan 31	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			Annual	<input type="checkbox"/>
			Monthly	<input type="checkbox"/>
Deposit Growth Plan 14	<input type="checkbox"/>	<input type="checkbox"/>		
Other Plan name _____				

1. Charity and Authorised Officials details

Please notify us if the correspondence address for the Charity is different from the registered address given on this Application Form.

Name of Charity _____

Charity Registration Number _____

Registered Address _____

Postcode _____

Authorised Official 1

Name (in full) _____

Position _____

Address _____

Postcode _____

Authorised Official 2

Name (in full) _____

Position _____

Address _____

Postcode _____

2. Investment

Please specify the amount you would like to invest (minimum £1,500)

Notes: Cheques from a UK bank or building society account held in the name of the Charity, should be made payable to: Investec Bank plc. You must reference the name of the Charity clearly on the cheque. **Application Forms with post-dated cheques will not be accepted.**

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

3. Regular payment details

Only applicable for the FTSE 100 Bonus Income Plan 21.

Regular payments can only be made by direct credit by the Bankers Automated Credit System (BACS) to an account with a bank or building society within the UK clearing system.

Please refer to the Brochure for regular payment details.

Bank/Building Society _____

Account to be credited (for example, ABC Charity) _____

Bank Sort Code - -

Full Account Number (Normally 8 digits occasionally 7, Lloyds TSB for example)

Building Society Reference or Roll Number

Please state to whom the new business documentation should be issued

Charity (at address given)

IFA Only

Both

4. FSCS Information – Deposit Plans only

Please complete the following section so that we can record potential FSCS eligibility. For further information on the FSCS and eligibility criteria please see the relevant Plan brochure.

Please confirm your legal structure:

Corporate

Under the Companies Act, a small company is deemed to be small if it meets two or more of the following criteria:

1. Annual turnover

Companies: £6.5 million or less

Parent Companies: £6.5m net or less, or £7.8m gross or less for the group as a whole

2. Balance sheet

Companies: £3.26 million or less

Parent Companies: £3.26m net or less, or £3.91m gross or less for the group as a whole

3. Employees – 50 employees or less

Is your company (charity) deemed a small company under sections 382 & 383 of the Companies Act 2006? Yes No

Mutual Association

Do you have net assets of more than £1.4m (or equivalent)? Yes No

Trust

5. Declaration

We, the Trustees or Authorised Officials declare that we are authorised to give all types of instructions relating to this investment.

We confirm that:

- The information given on this application at the date of signing is true, complete and correct to the best of our knowledge and belief.
- We declare that we have read and understood the Plan brochure, relating to the Plan chosen, including the Plan Risks and accept the Terms and Conditions and agree to be bound by the Terms and Conditions under which our Plan will be managed.
- We undertake to advise Investec Bank plc immediately in writing of any changes in the information contained in this Application Form, including any changes to the Trustees/Authorised Signatories/Authorised Officials.
- We are not acting on behalf of a resident of the United States or a U.S. Person (as defined under the Internal Revenue Code of 1986, as amended) and we will not assist any person who is resident in the United States or a U.S. Person to acquire an interest in the charity. We agree to inform you immediately should we believe anyone connected with the charity becomes a resident of the United States or a U.S. Person and on our request to transfer or pay to the charity any investments, interest, dividends, rights or other proceeds in respect of such investments.
- We are not acting on behalf of a person who is in the United States or who is a U.S. Person (as defined in Regulation S under the U.S. Securities Act of 1933, as amended).
- We authorise Investec Bank plc to hold the subscriptions, Plan investments, interest, dividends and any other rights or proceeds in connection with more investments and any other cash and upon our request to transfer or pay to the Trust any investments, interest, dividends, rights or other proceeds in connection with such investments.
- Where we have chosen a deposit Plan, we agree that our money will be placed on deposit with Investec Bank plc.
- We accept that the Plan Manager will only provide an annual statement on the value of the Plan.

Data Protection – Uses of Your Data

Investec Bank plc is committed to processing your data in accordance with the Data Protection Act 1998. We may use your personal data to provide you with services you request from us, manage your accounts, make decisions, detect and prevent fraud, for analysis and assessment, and to ensure that we comply with legal and regulatory requirements.

For further details of how Investec Bank plc uses your information, please read our Data Protection Statement on our website www.investec.co.uk/dataprotection or ask for a printed copy.

I/We do not wish to receive marketing information by post and telephone.

By signing this form you agree that we can use and disclose your information in the ways described in our Data Protection Statement, as amended or updated from time to time.

Notes

1. Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.
2. Money Laundering Regulations (as amended): Under the regulations there is a legal requirement to prove the identity of people who wish to make an investment. In order to comply with these regulations, we require the Verification of Identity section of this form to be completed.
3. Your Plan is designed to be held without change until it matures. The interim value of the underlying investment of the Plan does not directly impact on the benefits generated. The capital return from this Plan depends on the level of the Index at maturity and its performance throughout the Plan Term, which can be obtained from us or from public sources. We will send you Plan statements once a year with information on how your Plan is progressing.
4. Capitalised terms unless otherwise defined, have the meanings given to them in the Terms and Conditions within the Plan brochure.

Signatures

Signature	
X	
Print Name	
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Capacity	
Signature	
X	
Print Name	
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Capacity	

Please note that applications must be received before 5pm on 24 February 2012.

For Financial Adviser use only – Mandatory

Name of Registered Individual _____
Name of company _____
Address _____

Telephone number _____
Email address _____
Are you a member of a network? Yes No
If yes, are you direct authorised or an authorised representative?
Name of network _____
FSA Number _____

Have you provided the customer with investment advice in relation to this product in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 7 of the Deposit Plans IFA guide and page 8 of the Investment Plans IFA guide?
Yes No
If 'No', how has the investment been taken out (e.g. execution only)?

Have you conducted a review of appropriateness? Yes No
If no, please specify reason† _____
†If further space is required for your answers please attach the additional information on a separate sheet.

Verification of identity

I confirm that the Charity and Authorised Signatories detailed under Section 1 are covered by the Charities Commission letter and that I have seen evidence to verify their identity that meets the standards set out within JMLSG guidance, issued in 2007

Name _____
Job title _____
Signature _____
X
Date

This declaration cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

For verification of third party payers, please use the Confirmation of Verification of Identity Certification on our website.

Please select the appropriate commission option (If you are applying for more than one option within a Plan please state the option number next to the commission selection you have made).

For the FTSE 100 3 Year Deposit Plan 31:

- 2.25% Initial commission only
 1% Initial commission plus 0.45% trail commission
 1% Initial commission only
 0% Initial commission only

For the FTSE 100 Kick-Out Deposit Plan 26:

- 2.25% Initial commission only (**Option 2 only**)
 1% Initial commission plus 0.45% trail commission (**Option 2 only**)
 1% Initial commission only
 0% Initial commission only

For the Deposit Growth Plan 14 and the FTSE 100 Bonus Income Plan 21:

- 3% Initial commission only
 1% Initial commission plus 0.45% trail commission
 1% Initial commission only
 0% Initial commission only

For the FTSE 100 Enhanced Kick-Out Plan 26:

- 3% Initial commission only
 2% Initial commission plus 0.75% trail commission
 1% Initial commission only
 0% Initial commission only

For the FTSE 100 Geared Returns Plan 31 and the FTSE 100 Accelerated Growth Plan 31:

- 3.5% Initial commission only
 1.25% Initial commission plus 0.5% trail commission
 1% Initial commission only
 0% Initial commission only

For any other Plan, please fill in Plan name and commission required, as specified in the Plan brochure:

Plan Name _____

Commission _____

For Investec use only

Plan Number _____

If you have difficulty in reading our literature, please call us on 08000 890 305. We can supply this in a range of formats including large print, audio and Braille.

Issued by Investec Bank plc. Registered and incorporated in England No. 00489604. Investec Bank plc is authorised and regulated by the Financial Services Authority. Registered under Financial Services Authority No. 172330. Investec Bank plc does not offer investment advice or make any recommendations regarding these Plans.