

Deposit Plans

Direct and ISA Application Form

The closing date for direct investments is 24 February 2012.

Please return your completed and signed Application Form to your financial adviser who will send it to:
Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.

This Application Form is for direct investments and new ISAs. ISAs are restricted to UK tax resident individuals only.

For additional forms (e.g. for a spouse, partner or friend), simply photocopy this form.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

To be completed by the Investor.

1a Direct Investments	
I apply to subscribe the following amount as a direct investment into the Plan(s) selected (Minimum total £1,500 and maximum £1,000,000 per Plan).	
FTSE 100 Kick-Out Deposit Plan 26	
Option 1 £ <input type="text"/>	Option 2 £ <input type="text"/>
FTSE 100 3 Year Deposit Plan 31	
Option 1 £ <input type="text"/>	Option 2 £ <input type="text"/>
Deposit Growth Plan 14	
Option 1 (FTSE 100) £ <input type="text"/>	
Option 2 (EVEN 30™) £ <input type="text"/>	
Cheques should be made payable to 'Investec Bank plc', for a Building Society cheque or bankers draft 'Investec Bank plc reference [your name]'. Application Forms with post-dated cheques will not be accepted.	

1b Cash ISA for tax year 2011/12	
I apply to subscribe the following amount as all or part of my ISA allowance into the Plan selected for the tax year 2011/12. (Minimum total £1,500). For UK tax resident individuals only.	
FTSE 100 Kick-Out Deposit Plan 26	
Option 1 £ <input type="text"/>	Option 2 £ <input type="text"/>
FTSE 100 3 Year Deposit Plan 31	
Option 1 £ <input type="text"/>	Option 2 £ <input type="text"/>
Deposit Growth Plan 14	
Option 1 (FTSE 100) £ <input type="text"/>	
Option 2 (EVEN 30™) £ <input type="text"/>	
Cheques should be made payable to 'Investec Bank plc', for a Building Society cheque or bankers draft 'Investec Bank plc reference [your name]'. Application Forms with post-dated cheques will not be accepted.	

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

Total Direct and ISA Subscription £

2 Personal Details This section must be completed by all applicants		
Title (Mr/Mrs/Miss/Ms)	Date of Birth <input type="text"/>	Permanent Residential Address
Surname		
Full Forenames		Postcode
Male <input type="checkbox"/> Female <input type="checkbox"/>		Telephone Number (Daytime)
Country or Place of Birth*		Tax Identification Number*

*For investors in Guernsey and the Isle of Man only.

3 Joint Holders

The Joint Holder must complete this section.
For DIRECT INVESTMENTS ONLY

Title (Mr/Mrs/Miss/Ms) _____ Date of Birth

d	d	m	m	y	y
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Surname _____

Full Forenames _____

4 On behalf of a Child

This section is ONLY applicable for direct investments.
For direct investments on behalf of a child, the adult signing the form acts as a nominee of the child.

Child's Name _____

Child's Date of Birth

d	d	m	m	y	y
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5 Gift from Another

Only applicable for direct investments where the funds have been gifted by a relative to the applicant.

Please tick to confirm you have gained consent for this information to be used and disclosed to us.

Name of relative _____

Date of Birth _____

Relationship _____

6 National Insurance Number

Do you have a National Insurance (NI) Number? Yes No

If 'YES' you must enter it here:

(You should be able to find your NI number on a payslip, form P45 or P60 or a letter from HM Revenue & Customs, a letter from the DWP (Department for Work and Pensions), or pension order book).

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7 Appropriateness

This section must be completed by all applicants.

Have you received a personal recommendation from your financial adviser to invest in the Plan(s) selected? Yes No

If 'Yes', please proceed to the next section.

If 'No' please answer the questions below. We will not be able to accept your investment if we cannot determine whether the selected Plan(s) are appropriate for you.

Appropriateness questions – the following questions only need to be answered if you have not received investment advice.

1. Have you, in the last five years, held any of the following investments?

Shares Yes No

Stocks and Shares ISA Yes No

Unit Trusts/OEICs Yes No

Tracker Funds Yes No

Cash ISA Yes No

A product similar to the one(s) you are applying for (known as a Structured Product), i.e. a fixed term investment? Yes No

2. Do you understand that stock market movements will influence the return you receive (on top of your initial Plan deposit)? Yes No

3. Do you understand the Plan(s) have been designed to be held for the full term? Yes No

4. Do you fully understand the risks of the Plan(s) that are explained in the brochure(s)? Full details on these are contained in the Brochure and Terms and Conditions, which you should have read and understood. Yes No

8 Declaration and Authority

This section must be completed, signed and dated by all applicants. Application Forms will not be processed if this section is incomplete.

Applicable to direct investment applicants only

I declare that, as set out in personal details section, I am 18 years of age or over, and either: (a) resident and ordinarily resident in the UK for tax purposes and that I am not acting on behalf of a Non-UK tax resident or (b) resident in Guernsey or Isle of Man.

Applicable to all cash ISA applicants

I apply to subscribe for a cash ISA for the tax year 2011/12.

I declare that:

1. all subscriptions made, and to be made, belong to me.
2. I am 18 years of age or over.
3. I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year.
4. I have not subscribed, and will not subscribe, more than the cash ISA subscription limit to one cash ISA.
5. I have not subscribed, and will not subscribe, to another cash ISA with a different ISA manager in the same tax year that I subscribe to this cash ISA.

8 Declaration and Authority continued

- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Bank plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I agree to the ISA Terms and Conditions.

For all applicants

I authorise Investec Bank plc:

- to hold my cash subscription, investments, interest, dividends and other rights in respect of those investments and any other cash or other proceeds.
- on my request to transfer or pay to me any cash realised on or deriving from, as the case may be, direct investments, interest, dividends, rights or other proceeds in respect of such investments, any cash or other proceeds.
- to supply me with an annual Plan statement.

You should have read and made sure you understood the Plan brochure(s), including Plan risks and selling restrictions, and accept the Terms and Conditions so that you can agree to the Terms and Conditions under which your Plan(s) will be managed. Please note that if you have any questions to help you understand the Terms of the Plan you should ask your financial adviser. You also agree that your Plan(s) will be placed on deposit with Investec Bank plc.

You acknowledge that the Plan Manager will only provide an annual statement on the value of your Plan(s).

Data Protection – Uses of Your Data

Investec Bank plc is committed to processing your data in accordance with the Data Protection Act 1998. We may use your personal data to provide you with services you request from us, manage your accounts, make decisions, detect and prevent fraud, for analysis and assessment, and to ensure that we comply with legal and regulatory requirements.

For further details of how Investec Bank plc uses your information, please read our Data Protection Statement on our website www.investec.co.uk/dataprotection or ask for a printed copy.

I/We do not wish to receive marketing information by post and telephone.

By signing this form you agree that we can use and disclose your information in the ways described in our Data Protection Statement, as amended or updated from time to time.

You declare that this Application Form has been completed to the best of your knowledge and belief.

Signature X _____
Print Name _____
Date _____
I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> *

Notes

- Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar item. We may also check your identity using electronic systems.

Plan Number(s) (For Investec use only)

FTSE 100 Kick-Out Deposit Plan 26

Option 1 _____ Option 2 _____

FTSE 100 3 Year Deposit Plan 31

Option 1 _____ Option 2 _____

Deposit Growth Plan 14

Option 1 (FTSE 100) _____

Option 2 (EVEN 30™) _____

Joint Holder if applicable:

Signature X _____
Print Name _____
Date _____
I have attached a copy of my passport or identification issued by the state <input type="checkbox"/> *

Please note all applications must be received by Investec Administration before 5pm on 24 February 2012.

*For investors in Guernsey and the Isle of Man only.

For Financial Adviser use only – Mandatory

Please ensure you have registered a fully completed Terms of Business with us. Failure to do so may result in this application being rejected.

Name of Registered Individual _____

Name of company _____

Address _____

_____ Postcode _____

Telephone number _____

Email address _____

Are you a member of a network? Yes No

If yes, are you direct authorised or an authorised representative?

Name of network _____

Local Regulator, e.g. FSA, FSC _____ Registration Number _____

Have you provided the customer with investment advice in relation to the product(s) in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 7 of the Deposit Plans IFA guide? Yes No

If 'No', how has the investment been taken out (e.g. execution only)*? _____

Have you conducted a review of appropriateness? Yes No

If no, please specify reason* _____

*If further space is required for your answers please attach the additional information on a separate sheet.

Verification of Identity

I confirm that:

a) the information in sections 2, 3, 4, 5 and 6 was obtained by me in relation to the customer(s);

b) the evidence I have obtained to verify the identity of the customer(s) meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007.

Signed _____

Name _____

Position _____ Date _____

This declaration can not be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the money Laundering Regulations; or
- Those whose identity has been verified using the source of funds as evidence.

If any of the above apply please contact us before proceeding.

For verification of third party payers, please use the confirmation of Verification of Identity Certification on our website.

Please tick the box that applies to the commission payment you require.

Please select the appropriate commission option (If you are applying for more than one option within a Plan please state the option number next to the commission selection you have made).

3% Initial commission only

Deposit Growth Plan 14

2.25% Initial commission only

FTSE 100 Kick-Out Deposit Plan 26 (Option 2)

FTSE 100 3 Year Deposit Plan 31

1% Initial commission plus 0.45% trail commission

FTSE 100 Kick-Out Deposit Plan 26 (Option 2)

FTSE 100 3 Year Deposit Plan 31

Deposit Growth Plan 14

1% Initial commission only

FTSE 100 Kick-Out Deposit Plan 26

FTSE 100 3 Year Deposit Plan 31

Deposit Growth Plan 14

0% Initial commission only

FTSE 100 Kick-Out Deposit Plan 26

FTSE 100 3 Year Deposit Plan 31

Deposit Growth Plan 14

Any commission rebated is added to the initial investment amount. Commission rebates are not available for ISA investments.

Please note that all sections on this page must be completed in order for the application to be processed.



Best Structured Products Provider 2009, 10 & 11



Best Structured Products Provider 2010 & 2011



Best Structured Products Provider 2010 & 2011

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