

Application for Offshore Bond Providers



Structured Products

Applications will only be accepted via an FSA regulated financial adviser.

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

If you have any questions regarding the application process please speak with your financial adviser or ring 0845 603 9176.

Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ

Closing date	24 February 2012
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Plan Name (please tick name of Plan applying for)

Investec Plans only				Option 1 (FTSE 100)	Option 2 (EVEN 30™)
FTSE 100 Kick-Out Deposit Plan 26	<input type="checkbox"/> Option 1	<input type="checkbox"/> Option 2		<input type="checkbox"/>	<input type="checkbox"/>
FTSE 100 3 Year Deposit Plan 31	<input type="checkbox"/> Option 1	<input type="checkbox"/> Option 2	Deposit Growth Plan 14	<input type="checkbox"/>	<input type="checkbox"/>
Other Plan name _____					

1. Offshore Bond details

Name of Offshore Bond Provider
Offshore Bond Provider Address
Postcode
Offshore Bond Plan Number

Offshore Bond Holder 1	
Offshore Bond Holder Address	
Postcode	
Date of Birth	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/>
Telephone Number (Daytime)	
Nationality	

Offshore Bond Holder 2	
Offshore Bond Holder Address	
Postcode	
Date of Birth	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/>
Telephone Number (Daytime)	
Nationality	

2. Investment

Please specify the amount you would like to invest (minimum £1,500)

£

Notes: Cheques from a UK bank or building society account held in the name of the Offshore Bond Provider should be made payable to: 'Investec Bank plc'. You must reference the name of the Bond Holder clearly on the cheque. Application Forms with post-dated cheques will not be accepted.

Tick here if the proceeds are coming from a maturing Investec Plan

Maturing Plan Number

3. Declaration

We, the Offshore Bond Provider, declare that we are authorised on behalf of the Offshore Bond Holders, to provide instructions with respect to the investment and that, in particular, we confirm that:

- The information given on this application at the date of signing is true, complete and correct to the best of our knowledge and belief.
- We declare that we have read and understood the Plan brochure, relating to the Plan chosen, including the Plan Risks and accept the Terms and Conditions and agree to be bound by the Terms and Conditions under which our Plan will be managed.
- We undertake to advise Investec Bank plc immediately in writing of any changes in the information contained in this Application Form, including any changes to the Bond Holders or ourselves as Bond Provider.
- We confirm that we have the full power to invest in the Plans and have taken all action necessary to authorise the completion of this application and the person(s) signing this Application Form have full power and authority to do so on our behalf.
- We are not acting on behalf of a resident of the United States and we will not assist any person who is resident in the United States (as defined in Regulation S under the U.S. Securities Act of 1933, as amended, or as defined in the U.S. Internal Revenue Code of 1986, as amended) to acquire an interest in the Bond. We agree to inform you immediately should we believe anyone connected with the trust becomes a resident of the United States.
- We authorise Investec Bank plc to hold the subscriptions, Plan investments, interest, dividends and any other rights or proceeds in connection with more investments and any other cash and upon our request to transfer or pay to the Bond any investments, interest, dividends, rights or other proceeds in connection with such investments.
- Where we have chosen a deposit Plan, we agree that our money will be placed on deposit with Investec Bank plc.
- We accept that the Plan Manager will only provide an annual statement on the value of the Plan.
- We have undertaken appropriate due diligence and are aware of the identity of each of our policy holders, in accordance with the anti-money laundering requirements relevant to the jurisdiction we operate in. However it should be noted that in all cases, we are the legal and beneficial owner of the asset.

Data Protection – Uses of Your Data

Investec Bank plc is committed to processing your data in accordance with the Data Protection Act 1998. We may use your personal data to provide you with services you request from us, manage your accounts, make decisions, detect and prevent fraud, for analysis and assessment, and to ensure that we comply with legal and regulatory requirements.

For further details of how Investec Bank plc uses your information, please read our Data Protection Statement on our website www.investec.co.uk/dataprotection or ask for a printed copy.

I/We do not wish to receive marketing information by post and telephone.

By signing this form you agree that we can use and disclose your information in the ways described in our Data Protection Statement, as amended or updated from time to time.

Notes

1. Please return your completed and signed Application Form to your financial adviser who will send it to: Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ.
2. Money Laundering Regulations (as amended): Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. In order to comply with these regulations, we require the Verification of Identity section of this form to be completed.
3. Your Plan is designed to be held without change until it matures. The interim value of the underlying investment of the Plan does not directly impact on the benefits generated. The capital return from the Plan depends on the level of the Index at maturity and its performance throughout the Plan Term, which can be obtained from us or from public sources. We will send you Plan statements once a year with information on how your Plan is progressing.
4. Capitalised terms unless otherwise defined, have the meanings given to them in the Terms and Conditions within the Plan brochure.

Please state to whom the new business documentation should be issued:

Offshore Bond Provider

IFA

Both

Bank: HSBC Bank plc

Sort Code: 40 40 01

Account Number: 32137674

Account Name: Investec Bank plc

6. Please reference client name/client designation when making payment to this account. Failing to do so may result in your application being rejected.

Signatures

Signature

X

Print Name

Date

Capacity

Signature

X

Print Name

Date

Capacity

Please note that applications must be received before 5pm on 24 February 2012.

For Financial Adviser use only – Mandatory

Name of Registered Individual _____
Name of company _____
Address _____

Telephone number _____
Email address _____
Are you a member of a network? Yes No
If yes, are you direct authorised or an authorised representative?
Name of network _____
FSA Number _____

Have you provided the customer with investment advice in relation to this product in accordance with the guidance set out in the Plan brochures and in particular, the table set out on page 7 of the Deposit Plans IFA guide?

Yes No

If 'No', how has the investment been taken out (e.g. execution only)*?

Have you conducted a review of appropriateness? Yes No

If no, please specify reason† _____

*If further space is required for your answers please attach the additional information on a separate sheet.

Verification of identity

I confirm that the Offshore Bond Provider and Holder details listed under Section 1 were obtained by me. I have seen evidence to verify the identity of all applicants that meets the standards set out within JMLSG guidance, issued in 2007.

Name _____

Job title _____

Signature

X _____

Date

This declaration cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

For verification of third party payers, please use the Confirmation of Verification of Identity Certification on our website.

Please select the appropriate commission option (If you are applying for more than one option within a Plan please state the option number next to the commission selection you have made).

For the Deposit Growth Plan 14:

- 3% Initial commission only
- 1% Initial commission plus 0.45% trail commission
- 1% Initial commission only
- 0% Initial commission only

For the FTSE 100 3 Year Deposit Plan 31:

- 2.25% Initial commission only
- 1% Initial commission only plus 0.45% trail commission
- 1% Initial commission only
- 0% Initial commission only

For the FTSE 100 Kick-Out Deposit Plan 26:

- 2.25% Initial commission only (**Option 2 only**)
- 1% Initial commission only plus 0.45% trail commission (**Option 2 only**)
- 1% Initial commission only
- 0% Initial commission only

For any other Plan, please fill in Plan name and commission required, as specified in the Plan brochure:

Plan Name _____

Commission _____

For Investec use only

Plan Number _____

If you have difficulty in reading our literature, please call us on 08000 890 305. We can supply this in a range of formats including large print, audio and Braille.

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