

Private Individual Confirmation of Verification of Identity

Introduction by regulated firm

To be completed by the IFA

Please complete this form in BLOCK CAPITALS and in black ink, ticking boxes where appropriate.

1 Details of Individual

(see explanatory notes below)

Title (Mr/Mrs/Miss/Ms)	Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname							
Full Forenames							
Male <input type="checkbox"/> Female <input type="checkbox"/>							
Permanent Residential Address							
Postcode							
Telephone Number (Daytime)							
Previous address if individual has changed address in the last three years							
Postcode							

2 Joint Holders

(see explanatory notes below)

Title (Mr/Mrs/Miss/Ms)	Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Surname							
Full Forenames							
Male <input type="checkbox"/> Female <input type="checkbox"/>							
Permanent Residential Address							
Postcode							
Telephone Number (Daytime)							
Previous address if individual has changed address in the last three years							
Postcode							

3 Confirmation

I/We confirm that (a) the information in section 1 above was obtained by me/us in relation to the customer; (b) the evidence I/we have obtained to verify the identity of the customer: meets the standard evidence set out within the guidance Notes for the UK Financial Sector issued by JMLSG in 2007. <input type="checkbox"/>
Signed X
Name
Position
Date

4 Details of Introducing Firm

(or Sole Trader)

Full Name of Regulated Firm (or Sole Trader)
Local Regulator e.g. FSA, FSC
Registration Number

Explanatory notes

For joint cases, the identity of both individuals needs to be verified.

- Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a Confirmation of Verification of Identity completed.
- This confirmation must carry an original signature, or an electronic equivalent.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.If any of the above in point 3 apply, please contact us before proceeding.

Please return the completed and signed Application Forms to:
Investec Administration, PO Box 1008, St Albans, Hertfordshire AL1 9LZ